

Appl. No. 10/053,274

Amdt. Dated December 2, 2003

Reply to Office Action of September 5, 2003

Attorney Docket No. 81841.0200

Customer No.: 26021

REMARKS/ARGUMENTS:

Minor changes are made to this specification. Claims 33-60 are canceled without prejudice. Claim 25 is amended. Claims 22-32 are pending in the application. Reexamination and reconsideration of the application, as amended, are respectfully requested.

CLAIM OBJECTIONS:

Claim 25 stands objected to because "in line 1, after R2 the verb 'are' is missing." In response, the Applicant inserted the verb "are" after R2. Withdrawal of this objection is thus respectfully requested.

SPECIFICATION:

The disclosure stands objected to because of the following informalities. The Examiner states, "the pages 2, 4-6, 8, 12-15 have been punched at the top of the page and because the margin was not enough some words are unreadable. New pages are required." In response, the Applicant attaches concurrently herewith replacement sheets for pages 2, 4-6, 8, and 12-15. Withdrawal of this objection is thus respectfully requested.

ALLOWABLE SUBJECT MATTER:

Claims 22-32 are allowed.

In view of the foregoing, it is respectfully submitted that the application is in condition for allowance. Reexamination and reconsideration of the application, as amended, are requested.

If for any reason the Examiner finds the application other than in condition for allowance, the Examiner is requested to call the undersigned attorney at the Los

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Angeles, California telephone number (213) 337-6700 to discuss the steps necessary for placing the application in condition for allowance.

If there are any fees due in connection with the filing of this response, please charge the fees to our Deposit Account No. 50-1314.

Respectfully submitted,

HOGAN & HARTSON L.L.P.

Date: December 2, 2003

By: Barry M. Shuman

Barry M. Shuman

Registration No. 50,220

500 South Grand Avenue, Suite 1900

Los Angeles, California 90071

Phone: 213-337-6700

Fax: 213-337-6701



fluorescent signals of the particles are measured and correlated to the presence and quantity of the analyte. The main advantage of this method is its capability of accurate detection and measurement of the fluorescent signals associated with the bound analyte in the presence of other unbound constituents of the sample.

5            Saccharides represent an important group of biochemical analytes. Current methods for determining their concentrations in a sample typically rely on enzymatic assays. Although enzymatic assays have proven to be reliable, they must utilize rather unstable enzymes, which become exhausted in the presence of their substrates. Additionally, conventional enzymatic assay methods cannot be utilized in a convenient  
10 flow cytometry format. Particle-based assays, such as the ones used in flow cytometry, require a signal change confined to the particle. Normal enzymatic analysis methods use freely diffusible intermediates that violate this requirement.

Determination of saccharides is particularly important in clinical settings. Treatment of diabetes and hypoglycemia requires frequent measurement of tissue  
15 glucose concentration. This is commonly accomplished by drawing a small blood sample (as by a fingerstick) several times daily. A patient typically uses a lancet to draw a droplet of blood and applies the droplet to a reagent strip which is read in a small meter. This process is painful, invasive, and time-consuming.

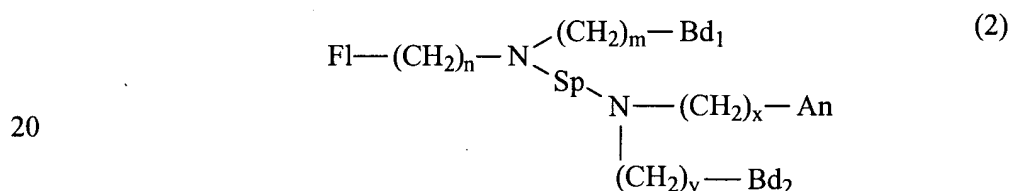
Recently, a minimally invasive method for measuring glucose *in vivo* has been  
20 disclosed in U.S. patent application Ser. No. 09/393,738 filed on September 10, 1999, which has been commonly assigned to the assignee of the present invention and is incorporated by reference herein. The method is based on the use of implanted sensor particles capable of generating a detectable analyte signal in response to the analyte concentration in the body. The proposed method is less intrusive than the  
25 conventional fingerstick technique for measuring blood D-glucose. It requires only periodical replacement of the sensor particles in the skin.

The sensor particles typically comprise fluorescence sensors either attached to the surface or incorporated into the body of the particles. The sensors are specific to the target analyte. The binding of the sensor to the analyte generates a detectable  
30 signal that is responsive to the concentration of the analyte. When the analyte is glucose, diboronic acids conjugated to fluors are used.

although the referenced art suggests using the PET-type fluorescent compounds in a heterogeneous assay format, no means for attaching the compound to a solid support are provided. Again, such attachment would be particularly difficult in view of the strict limitations imposed on the conformation and structure of the fluorescent molecule.

Accordingly, it is an object of the present invention to provide a fluorescent sensor, particularly PET-type sensor, with a modular structure, which allows independent selection of fluorescent and binding groups. It is also an object of the present invention to provide a fluorescent sensor that can be easily adapted for specific binding to a broad range of analytes. It is a further object of the present invention to provide a fluorescent sensor that can be used in both homogeneous and heterogeneous binding assay formats and can be easily attached to solid surfaces. Finally, it is an object of the present invention to provide convenient methods of making and using the fluorescent sensors.

These and other objects and advantages are achieved in a modular fluorescence sensor of the present invention having the following general formula:

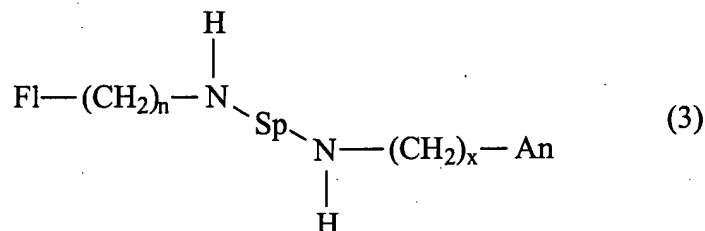


In the above formula, Fl is a fluorophore, N is a nitrogen atom, Bd1 and Bd2 are independently selected binding groups, Sp is an aliphatic spacer, and An is an anchor group for attaching the sensor to solid substrates. n, m, x, and y are integers, where n = 1 or 2, m = 1 or 2, and y = 1 or 2. The binding groups are capable of binding an analyte molecule to form a stable 1:1 complex. Examples of binding groups include, but are not limited to, boronic acid, crown ether, and aza-crown ether, such as 1,4,7,10,13-Pentaoxa-16-aza-cyclooctadecane (aza 18-crown-6) and 1,4,7,13-tetraoxa-10-aza-cyclohexadecane (aza 15-crown-5). In a preferred embodiment, the Bd1 is R1-B(OH)2 and Bd2 is R2-B(OH)2.

R<sub>1</sub> and R<sub>2</sub> are aliphatic or aromatic functional groups selected independently from each other and B is a boron atom.

In another aspect, the present invention provides a method of synthesizing a modular fluorescence sensor. The method comprises the steps of:

- 5 (a) forming an asymmetric compound of the following general formula:



10

and

- (b) replacing hydrogen atoms with B<sub>d1</sub> and B<sub>d2</sub> groups.

In the above formula, Fl is a fluorophore, N is a nitrogen atom, H is a hydrogen  
15 atom, Sp is an aliphatic spacer, and An is an anchor group for attaching the sensor to solid substrates. B<sub>d1</sub> and B<sub>d2</sub> are independently selected binding groups capable of binding an analyte molecule to form a stable 1:1 complex, n = 1 or 2, and x is an integer.

The present invention also provides a method of labeling solid substrates. The  
20 method comprises the steps of:

- (a) providing a solid substrate;  
(b) providing a modular fluorescence sensor of the present invention of formula (2), wherein An is capable of being attached to the solid substrate;  
(c) reacting the sensor with the solid substrate under a condition sufficient  
25 to attach the sensor to the substrate.

The solid substrate may be a micro particle. The sensor may be attached to the outer surface of the particle or it may be bound to the inside of the particle.

The modular fluorescence sensor of the present invention has been found to provide a number of advantages. The modular structure of the sensor allows a  
30 convenient replacement of its functional parts to fit analytes within a broad range of structures, binding affinities, and solubilities. The anchor site and the asymmetrical

structure of the instant sensor permit a convenient attachment of the sensor to a variety of solid substrates, as required in heterogenic assay formats. Also, the fluorescent sensor of this invention couples the signal generation to the analyte binding and thereby localizes the measurement of an analyte. Consequently, the sensor is well suited for applications in particle-based assays and flow cytometry. Finally, in the present invention, a special spacer group is used to provide the desired intramolecular distance between analyte-binding groups, which governs the analyte selectivity. The conventional PET-type sensors, on the other hand, utilize a fluorophore as a spacer, which puts stringent limitations on the type of fluorophore that can be used. On the contrary, in the present invention, the fluorophore may be selected without limitations to its size or conformation.

In view of its versatility, the novel fluorescent sensor of the present invention is useful in a broad range of analytical and clinical applications. The sensor is particularly beneficial in the detection and qualitative analysis of saccharides.

#### Description of the Figures

The above-mentioned and other features of this invention and the manner of obtaining them will become more apparent, and will be best understood by reference to the following description, taken in conjunction with the accompanying drawings. These drawings depict only typical embodiments of the invention and do not therefore limit its scope.

Figure 1 shows a synthetic scheme for a fluorescent sensor of the present invention.

Figure 2 shows the response of the fluorescent spectrum to changing concentrations of D-glucose.

Figure 3 depicts a plot of logarithm of D-glucose concentration versus fluorescence intensity.

#### Detailed Description of the Invention

The present invention provides a modular fluorescence sensor having the following general formula:

formation of 1:1 complex. The binding groups are preferably electron deficient groups. The electron deficiency governs the shift of the unshared electron pair from the nitrogen atoms to the binding group when specifically binding the analyte. Examples of the acceptable binding groups include, but are not limited to, boronic  
 5 acid, crown ether, and aza-crown ether, such as 1,4,7,10,13-Pentaoxa-16-aza-cyclooctadecane (aza 18-crown-6) and 1,4,7,13-tetraoxa-10-aza-cyclohexadecane (aza 15-crown-5). Examples of analytes that may be identified by utilizing sensors of the present invention include, but are not limited to, saccharides, amino saccharides, and carbonyl saccharides.

10 In a preferred embodiment, the  $B_{d1}$  is  $R_1-B(OH)_2$  and  $B_{d2}$  is  $R_2-B(OH)_2$ .  $R_1$  and  $R_2$  are aliphatic or aromatic functional groups selected independently from each other, and B is a boron atom. Examples of acceptable  $R_1$  and  $R_2$  groups include, but are not limited to, methyl, ethyl, propyl, butyl, phenyl, methoxy, ethoxy, butoxy, and phenoxy groups.

15 The binding groups are separated by the aliphatic spacer Sp. The length of the carbon backbone of the spacer is selected to match the size of the analyte. In one embodiment, where the analyte is glucose, the length of the carbon backbone is such that distance between binding groups is comparable with the size of glucose.

Although the spacer may have a straight, branched, or cyclic structure, in the  
 20 preferred embodiment the spacer is a straight-chain alkane. Typically, the spacer comprises from 1 to 9 carbon atoms, but spacers of larger length may be also used to match the size of the analyte. For example, when the analyte is glucose, the spacer may comprise six carbon atoms.

The anchor group  $A_n$  of the sensor provides means for immobilization of the  
 25 sensor on a solid support, for example on a support used in heterogeneous binding assay. The  $A_n$  group is attached to one of the nitrogen atoms of the sensor either directly or by means of a carbon bridge  $-(CH_2)_x-$ . The type of  $A_n$  group and the number of carbons (x) in the carbon bridge are selected to provide a secure attachment of the sensor to the solid support. For example, in one embodiment, the sensor is  
 30 attached to a micrometer scale particle. The micrometer scale particles with the

or glass-like particles produced from sol gels. In addition, the sensor particles may be made of a bio-resorbable polymer. Examples of a bio-resorbable polymer include, but are not limited to, polyglycolic acid (PGA), poly-DL-lactide-co-glycolide (PLGA), starch, gelatin, and the like.

5           The sensor particle of the present invention may be a hydrophilic particle such as, but not limited to, controlled pore glass (CPG) beads or a polymer gel. It may also be a hydrophobic particle with appropriate plasticizers or a sufficiently low glass transition temperature to permit free permeation by small analytes. Alternatively, it may be a semipermeable membrane such as, but not limited to, a liposome. To avoid  
10 the degradation of the sensor, the sensor may be bound to the inside of a hydrophilic particle, such as pores of CPG beads or a polymer gel. The receptors may also be captured inside a hydrophobic particle with appropriate plasticizers to permit free permeation by small analytes. The receptor may further be packaged inside the semipermeable membrane. For the purpose of the present invention, a plasticizer is  
15 appropriate if it permits free permeation of small analytes into a hydrophobic particle. Examples of such a plasticizer include, but are not limited to, dioctyl adipate, diisodecyl adipate, and the like. In accordance with an alternative embodiment, a sensor of the present invention may also be bound to the surface of hydrophobic or other insoluble particles.

20           The foregoing is meant to illustrate, but not to limit, the scope of the invention. Indeed, those of ordinary skill in the art can readily envision and produce further embodiments, based on the teachings herein, without undue experimentation.

#### EXAMPLE I

25           The fluorescent sensor of the formula (5) is prepared in accordance with the synthetic routes as shown in Figure 1 and as described in detail below.

##### Preparation of N-benzyl-hexane-1,6-diamine

A solution of hexamethylene-1,6-diamine (17.15g, 148mmol) and benzaldehyde (3.0ml, 29.5mmol) in tetrahydrofuran (THF) (300ml) and ethanol (75ml) was stirred at room temperature for 24 hours under a nitrogen atmosphere. The solvent was removed and  
30 the oil was dried under vacuum. The dried residue was dissolved in THF (100ml) and sodium borohydride (5.58g, 148mmol) was added to the solution. The solution was



stirred at room temperature for 7 hours under nitrogen atmosphere. Methanol and water were added to the solution and the solvents were removed under vacuum. The obtained oil was dissolved in chloroform, and washed with water. The solution was dried over magnesium sulphate and the solvent was then removed under vacuum to give a colorless oil (4.49g, 74%). <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ / ppm 1.1-1.5 (8H, m, (CH<sub>2</sub>)<sub>4</sub>), 2.55 (2H, t, NHCH<sub>2</sub>), 2.65 (2H, t, ArCNCH<sub>2</sub>), 3.75 (2H, s, ArCH<sub>2</sub>), 7.1-7.25 (5H, m, ArH).

#### Preparation of *N*-benzyl-*N'*-pyren-1-ylmethylene-hexane-1,6-diamine

A solution of *N*-benzyl-hexane-1,6-diamine (500mg, 2.42mmol) and 1-pyrenecarboxaldehyde (670mg, 2.90mmol) in THF and methanol (12.5ml each) was stirred at room temperature for 20 hours under a nitrogen atmosphere. The solvent was removed under vacuum, and the residue was washed with methanol. The precipitate was filtered off, the filtrate was removed and dried under vacuum to give a yellow oil (940mg, 93%). <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ / ppm 1.45-1.62 (6H, m, (CH<sub>2</sub>)<sub>3</sub>), 1.85 (2H, m, =HCCH<sub>2</sub>), 2.65 (2H, t, NHCH<sub>2</sub>), 3.78 (2H, s, PhCH<sub>2</sub>), 3.85 (2H, t, =NCH<sub>2</sub>), 7.15-7.25 (5H, m, Ph-*H*), 7.95-8.23, 8.53, 8.88 (7H, 1H, 1H respectively, m, d, d, Py-*H*), 9.27 (1H, s, N=CH). <sup>13</sup>C NMR (CDCl<sub>3</sub>) δ / ppm 27.31, 27.49, 30.12, 31.16, 49.50, 54.13, 62.77, 122.64, 125.00, 125.59, 125.84, 126.12, 126.24, 126.91, 127.50, 128.16, 128.42, 128.59, 130.64, 131.30, 140.50, 159.54; *m/z* (TOF) 419 ([M+H]<sup>+</sup>, 100%).

#### Preparation of *N*-benzyl-*N'*-pyren-1-ylmethyl-hexane-1,6-diamine

A solution of *N*-benzyl-*N'*-pyren-1-ylmethylene-hexane-1,6-diamine (420mg, 1.00mmol) and sodium borohydride (190mg, 5.00mmol) in methanol (10.0 ml) was stirred at room temperature for 3 hours under a nitrogen atmosphere. The solvent was removed under vacuum, and the residue was dissolved in chloroform and washed with water, and dried over magnesium sulphate. The solvent was removed and the residue was dried under vacuum to give a yellow oil (386/mg, 92%). <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ / ppm 1.32 (4H, m, (CH<sub>2</sub>)<sub>2</sub>), 1.45 (2H, m, BnNCCH<sub>2</sub>), 1.55 (2H, m, PyCNCCH<sub>2</sub>), 2.55 (2H, t, BnNCH<sub>2</sub>), 2.75 (2H, t, PyCNCH<sub>2</sub>), 3.75 (2H, s, PhCH<sub>2</sub>), 4.50 (2H, s, PyCH<sub>2</sub>), 7.15-7.25 (5H, m, Ph-*H*), 7.95-8.10, 8.15-8.22, 8.37 (4H, 4H, 1H respectively, m, m, d,

Py-*H*).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ )  $\delta$  / ppm 27.35, 30.16, 49.46, 50.02, 51.94, 54.13, 54.13, 123.19, 124.72, 125.00, 125.09, 125.89, 126.89, 127.03, 127.50, 127.64, 128.14, 128.40, 129.06, 131.34, 134.15;  $m/z$  (EI) 420 ( $[\text{M}]^+$ , 7%).

5 Preparation of *N*-benzyl-*N,N*-bis-(2-boronobenzyl)-*N'*-pyren-1-ylmethyl-hexane-1,6-diamine (Figure 1, compound 1 or formula (5)).

A solution of *N*-pyren-1-ylmethyl-hexane-1, 6-diamine (291mg, 0.69mmol), 2-(2-bromomethyl-phenyl)-[1, 3, 2]dioxaborinane (422mg, 1.66 mmol), and potassium carbonate (380mg, 2.76mmol) in dry acetonitrile (10ml) was heated at reflux for 20 hours under nitrogen atmosphere. The solvent was removed under vacuum, the residue was dissolved in chloroform and washed with water. The solvent was dried over magnesium sulphate and removed under vacuum. The residue was dissolved in THF (10ml). Water (10ml) was added to the THF solution, and the solution was stirred at room temperature for 3 hours. Organic phase was extracted with chloroform, washed with water, and dried over magnesium sulphate. The solvent was removed under vacuum. The residue was reprecipitated from chloroform with n-hexane to give a white yellow powder (172mg, 35%).  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  / ppm 1.25-1.48 (8H, m,  $(\text{CH}_2)_4$ ), 2.25 (2H, t,  $\text{BnNCH}_2$ ), 2.48 (2H, t,  $\text{PyCNCH}_2$ ), 3.45 (2H, s,  $\text{PhB(OH)CH}_2\text{NBn}$ ), 3.58 (2H, s,  $\text{PhB(OH)CH}_2\text{NCPy}$ ), 3.85 (2H, s,  $\text{PhCH}_2$ ), 4.21 (2H, s,  $\text{PyCH}_2$ ), 7.02-7.41 and 7.88-8.19 (22H, m, Ar-*H*), 8.86 (4H, bs, BOH).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ )  $\delta$  / ppm 51.69, 52.99, 54.42, 56.42, 57.11, 61.03, 61.86, 67.85, 122.90, 124.55, 124.99, 125.79, 127.31, 128.28, 128.57, 129.43, 130.00, 130.55, 136.32, 141.56; Found: C, 76.56; H, 6.69; N, 3.80,  $\text{C}_{44}\text{H}_{46}\text{B}_2\text{N}_2\text{O}_4$  requires C, 76.76; H, 6.73; N, 4.07%;  $m/z$  (FAB) 1230 ( $[\text{M}+\text{H}+4(3\text{-HOCH}_2\text{C}_6\text{H}_4\text{NO}_2)-4(\text{H}_2\text{O})]^+$ , 100%); mp. 165-168°C.

EXAMPLE II

A relative fluorescent intensity of the sensor of formula (5), as prepared in EXAMPLE I, was measured in 52.1 wt % methanol and phosphate buffer (pH 8.21) with various D-glucose concentrations (Figure 2). Phosphate buffer was prepared as described in D. D. Perrin, and B. Dempsey, *Buffers for pH and Metal Ion Control*, Chapman and Hall, London, 1974. The fluorescence spectra were recorded as

increasing amounts of D-glucose were added to the solution. The mixture solutions were measured excitation at 342 nm. The fluorescent intensity was found to correlate with total D-glucose concentration in the solution.

Figure 3, shows a plot of logarithm of D-glucose concentration versus  
5 fluorescence intensity. The plot demonstrates that the fluorescence increases as the D-glucose concentration increases, and that the fluorescence of the PET receptor may be used to assay D-glucose concentration.

The present invention may be embodied in other specific forms without departing from its essential characteristics. The described embodiment is to be  
10 considered in all respects only as illustrative and not as restrictive. The scope of the invention is, therefore, indicated by the appended claims rather than by the foregoing description.